# Permutations

# An overview of algebra and combinatorics through the concrete approach of permutations

Sirawit Pongnakintr October 15, 2023

# Table of contents



Order

Chains, antichains, and Dilworth's theorem

4. Problems

• To get a "feeling" of invariants and quotients through concrete examples on permutations.

- To get a "feeling" of invariants and quotients through concrete examples on permutations.
- To be able to practice competitive programming problems involving variants, invariants, duality, and permutations.

# Main objectives of this journey!



- To get a "feeling" of invariants and quotients through concrete examples on permutations.
- To be able to practice competitive programming problems involving variants, invariants, duality, and permutations.

Expected duration: 2.5 hours

Invariant vosplars convex V-E+F 3412 34512 34152

# Motivation (Competitive Programming)

- lingling (2021-2022)
- o58\_mar\_c2\_permutepermute
- https://szkopul.edu.pl/problemset/problem/ \_cVmDXXn2TjF0dF1rW6eazA0/site/?key=statement
- findpermutation(2021-2022)
- *abc* (2022-2023)
- *sortingtapes* (2022-2023)
- (Difficult) https://cses.fi/193/list/
- (Difficult)

https://oj.uz/problem/view/JOI18\_bubblesort2

- (Difficult)
  https://oj.uz/problem/view/JOI18\_asceticism
- (Difficult)
   https://codeforces.com/contest/1193/problem/C

# Permutations

1-1 ที่อถึง

For any  $n \in \mathbb{N}_+$ , we define  $\mathfrak{S}_{\mathfrak{s}}$  to be the set of all <u>bijections</u> from  $\{1, \ldots, n\}$  to  $\{1, \ldots, n\}$ .

#### Remark

We may write the symbol  $\mathfrak{S}_n$  simply as  $S_n$ .

# Notation

NotationPropSn 
$$\cong$$
 (graph, h edgesFor a bijection  $\sigma \in \mathfrak{S}_n$ , we write  $\sigma$  as $\begin{pmatrix} 1 & 2 & \cdots & n \\ \sigma(1) & \sigma(2) & \cdots & \sigma(n) \end{pmatrix}$  $\forall u \neq 1$ 

#### Example

Let 
$$\sigma_1 = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 3 & 4 & 2 & 1 \end{pmatrix}$$
, then  $\sigma_1 \in \mathfrak{S}_4$   
Let  $\sigma_2 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 6 & 5 & 3 & 1 & 4 & 2 \end{pmatrix}$ .  
Remark  
We denote  $\begin{pmatrix} 1 & 2 & \cdots & n \\ 1 & 2 & \cdots & n \end{pmatrix}$  by Id<sub>n</sub>.  
Fixed point

#### Definition 1

For any permutation  $\sigma \in \mathfrak{S}_n$ , we define its support  $\operatorname{supp}(\sigma)$  as the set of integers from 1 to *n* where the permutation has effect on it. Formally,

$$\operatorname{supp}(\sigma) = \{x \in \{1, \dots, n\} \colon \underline{\sigma}(x) \neq x\}$$

#### Example

$$\sigma = \begin{pmatrix} 1 & 2 & 3 \\ 6 & 5 & 3 \end{pmatrix} \begin{pmatrix} 4 & 5 & 6 \\ 1 & 4 & 2 \end{pmatrix}$$
 What is  $\underline{supp}(\sigma)$ ?  
$$\langle 1, 2, 4, 5, 6 \rangle$$

#### Definition 2

A permutation  $\sigma \in \mathfrak{S}_n$  is said to be a <u>k-cycle</u> if there exists  $x_1, x_2, \dots, x_k \in \{1, \dots, n\}$  all different such that  $\sigma(x_i) = x_{i+1}$  for all  $i \in \{1, \dots, k-1\}$  and  $\sigma(x_k) = x_1$ .



#### Cycle Notation

σ

 $\begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 3 & 2 & 5 & 6 & 1 & 4 \end{pmatrix}$ 

3 5)(2)(4 6) (1

# Products of permutations

# $(\sigma_1 \circ \sigma_2)(x) = \sigma_1(\sigma_2(x))$

Let  $\sigma_1$  and  $\sigma_2$  be some permutations of  $\mathfrak{S}_n$ , then the product of the permutations is  $\sigma_1 \circ \sigma_2$ .

#### Warning

In general  $\sigma_1 \circ \sigma_2$  may not be  $\sigma_2 \circ \sigma_1$ . (Try to find an example.)

#### Remark

Product of cycles with disjoint support is commutative.



#### Exercises

#### Exercise 1

List all 3-cycles of  $\mathfrak{S}_4$ . Now, fix  $2 \le k \le n$ , how many k-cycles are there in  $\mathfrak{S}_n$ ?

#### Exercise 2

Consider the permutation 
$$\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 3 & 5 & 4 & 8 & 7 & 6 & 2 & 1 \end{pmatrix}$$
.

- 1. Compute  $\sigma^{-1}$ .
- 2. Write  $\sigma$  as product of disjoint supports.
- 3. Write  $\sigma^2$  in table notation and cycle notation.
- 4. Compute  $\sigma^{2019}$ .

# **Factorization Theorem**



#### Theorem 3 (Factorization Theorem)

Every permutation can be decomposed as a product of cycles with disjoint supports. This decomposition is unique up to order in which the cycles appear.

#### Proof

# Transpositions

# snap

$$\begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 5 & 3 & 4 & 2 \end{pmatrix}$$

#### Definition 4

A transposition is a 2-cycle. Suppose  $\sigma \in \mathfrak{S}_n$  is defined by  $\sigma(i) = j$ and  $\sigma(j) = i$  for  $1 \le i < j \le n$  and  $\sigma(k) = k$  for all  $1 \le k \le n$  where  $k \notin \{i, j\}$ , then we may write  $\sigma$  as  $\underline{\tau_{i,j}}$ .

 $T_{2,5}$ 

#### Definition 4

A transposition is a 2-cycle. Suppose  $\sigma \in \mathfrak{S}_n$  is defined by  $\sigma(i) = j$ and  $\sigma(j) = i$  for  $1 \le i < j \le n$  and  $\sigma(k) = k$  for all  $1 \le k \le n$  where  $k \notin \{i, j\}$ , then we may write  $\sigma$  as  $\tau_{i,j}$ .

#### Proposition 5

For any transposition  $\underline{\tau} \in \mathfrak{S}_n$ ,  $\underline{\tau}^2 = \operatorname{Id}_n$ .

Proof.

$$\mathcal{T} = \mathcal{T}_{i,j}$$
$$\mathcal{T}^{Z} = \mathcal{T}_{i,j} \cdot \mathcal{T}_{i,j}$$

# Decomposition into transpositions

# $\begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 4 & 5 & 1 & 3 & 2 \end{pmatrix} = T_0 \circ T_0 \circ T_0 \circ T_0$ $\longrightarrow G \circ T_0 \circ \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 2 & 3 & 4 & 5 \\ 1 & 2 & 3 & 4 & 5 \end{pmatrix}$

Theorem 6

Every permutation can be decomposed as a product of transpositions (in a not necessarily unique way).

#### Proof

#### Exercise 3

Let  $n \in \mathbb{N}_+$  and  $\sigma \in \mathfrak{S}_n$  be a permutation.

- 1. Let  $\sigma_1, \sigma_2 \in \mathfrak{S}_6$  where  $\sigma_1 = (2, 4, 5)$  and  $\sigma_2 = (1, 3)$ . Compute  $ord(\sigma_1), ord(\sigma_2)$  and  $ord(\sigma_1 \circ \sigma_2)$ .
- 2. Assume  $n, p \in \mathbb{N}_+$  and  $2 \le p \le n$ . Prove that for any p-cycle  $\sigma$  in  $\mathfrak{S}_n, \sigma^p = \mathsf{Id}_n$  and for any  $1 \le k < p, \sigma^k \neq \mathsf{Id}_n$ . Deduce  $\mathsf{ord}(\sigma)$ .
- 3. Assume  $n \in \mathbb{N}_+$  and let  $\sigma$  be any permutation in  $\mathfrak{S}_n$ . Show that  $ord(\sigma) \leq n!$

#### Exercises

#### Exercise 4

Fix an integer  $n \ge 2$ , a permutation  $\sigma \in \mathfrak{S}_n$  and consider a p-cycle  $c = (a_1, a_2, \dots, a_p)$ . Show that  $\sigma \circ c \circ \sigma^{-1} = (\sigma(a_1), \sigma(a_2), \dots, \sigma(a_p))$ 

#### Exercise 5

Fix an integer  $n \ge 2$  and consider the circular permutation c = (1, 2, ..., n - 1, n). Find all the permutations  $\sigma \in \mathfrak{S}_n$  that commute with c (that is  $\sigma \circ c = c \circ \sigma$ ).

#### Exercise 6

Let n be an odd integer and  $\sigma \in \mathfrak{S}_n$ . Show that 4 divides

$$\prod_{i=1}^n (\sigma(i)^2 - i^2)$$

#### Inversions



 $\xi(\sigma) = (-1)^{I(\sigma)}$ 

#### Definition 8

For a permutation  $\sigma \in \mathfrak{S}_n$ , we denote by  $\varepsilon(\sigma)$  the signature of  $\sigma$ , which is defined by  $\varepsilon(\sigma) := (-1)^{l(\sigma)}$ .

#### Remark

If  $\varepsilon(\sigma) = 1$ , we say that  $\sigma$  is an even permutation. Otherwise  $\varepsilon(\sigma) = -1$  and we say that  $\sigma$  is an odd permutation.

#### Lemma 9

Let *n* be a positive integer and  $\sigma \in \mathfrak{S}_n$ . Then

$$\varepsilon(\sigma) = \prod_{1 \le i < j \le n} \frac{\sigma(i) - \sigma(j)}{i - j}$$

Proof



#### Exercise 7

Let  $\underline{\sigma}$  be a permutation, show that  $\sigma^2$  is an even permutation.

**Exercise 8** In  $\mathfrak{S}_n$ , consider  $\tau = (12)$  and  $\sigma = (12 \cdots n)$ .

- 1. Let k be an integer such that  $0 \le k \le n-2$ . Compute  $\sigma^k \circ \tau \circ \sigma^{-k}$ .
- 2. Deduce that every permutation in  $\mathfrak{S}_n$  can be written as a product of a sequence of  $\tau$  and  $\sigma$ .

What is the number of swaps during a bubble sort? In other words, for any permutation  $\sigma \in \mathfrak{S}_n$ , what is the minimum number of k such that  $\sigma = \tau_1 \circ \tau_2 \circ \cdots \circ \tau_k$  where for any  $1 \le i \le k$ , there exists  $1 \le a < n$  such that  $\tau_i = \tau_{a,a+1}$ ?

#### Proposition 10

Given a permutation  $\sigma \in \mathfrak{S}_n$ , the number of swaps in the bubble sort of  $\sigma$  is  $I(\sigma)$ .

Proof





Recall the following definition.

#### Definition 11

For a permutation  $\sigma \in \mathfrak{S}_n$ , we denote by  $\underline{l(\sigma)}$  the number of inversions of  $\sigma$ , which is defined by the number of ordered pairs (i, j) where  $1 \le i < j \le n$  such that  $\sigma(i) > \sigma(j)$ .

Recall the following definition.

#### Definition 11

For a permutation  $\sigma \in \mathfrak{S}_n$ , we denote by  $l(\sigma)$  the number of inversions of  $\sigma$ , which is defined by the number of ordered pairs (i, j) where  $1 \le i < j \le n$  such that  $\sigma(i) > \sigma(j)$ .

If, instead of computing the total number of inversions, we define  $l_j(\sigma)$  for each  $1 \le j \le n$  to be the number of indices *i* where  $1 \le i < j$  such that  $\sigma(i) > \sigma(j)$ , we obtain the inversion table.



Let 
$$\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 6 & 5 & 3 & 1 & 4 & 2 \end{pmatrix}$$
.

Then the inversion table is



Exercises

061 muscle

#### Exercise 9

Write a program which receives a permutation and compute its invariant table. What is the best complexity you can get?

#### Exercise 10

Write a program which receives an invariant table and reconstruct a permutation. What is the best complexity you can get?

# Asceticism (JOI Spring Camp 2017-2018)

#### Problem from

#### https://oj.uz/problem/view/JOI18\_asceticism.

- Kukai reads the sutra with *N* sentences. These sentences are ordered, and he has to read in order.
- Each sentence has one integer between 1 and *N*, inclusive. No two different sentences have the same number.
- He has to read the sentence with the integer i (1 ≤ i ≤ N) in the i-th period among the N equally divided time periods in a day. Each sentence is so short that it is always possible for him to read a sentence in a period.

Kukai wants to read the whole sutra as fast as possible. However, how many days it takes for him to finish depends on the integers on the sentences in the sutra. JOI-kun was asked by Kukai to count the number of possible ways of integers on the sentences that takes Kukai exactly *K* days to finish reading, if he reads optimally.

Given N and K. Count the number of permutations of length N such that there are K "ascents". An index  $2 \le i \le N$  is said to be an ascent of  $\sigma \in \mathfrak{S}_N$  if  $\sigma(i-1) < \sigma(i)$ .




#### Definition 12

Let A be a set. We call a set  $R \subseteq A \times A$  an equivalence relation if it satisfies three following properties:

- (Reflexivity) For all  $x \in A$ ,  $(x, x) \in R$ .
- (Symmetry) For all  $x, y \in A$  if  $(x, y) \in R$  then  $(y, x) \in R$  also.
- (Transitivity) For all  $x, y, z \in A$  if  $(x, y) \in R$  and  $(y, z) \in R$  then  $(x, z) \in R$  also.

#### **Example: Congruence**

Let  $n \in \mathbb{N}_+$ . We introduce a relation  $R \subseteq \mathbb{Z} \times \mathbb{Z}$  defined by

$$R = \{(x, y) \in \mathbb{Z} \times \mathbb{Z} : n \text{ divides } (x - y)\}$$

and write  $x \equiv y \pmod{n}$  whenever  $(x, y) \in R \pmod{x \neq y} \pmod{n}$ whenever  $(x, y) \notin R$ .



#### Example: The last digit

 $2 \stackrel{?}{3} \sim 1 \stackrel{?}{3} \qquad 2 \stackrel{?}{3} \sim 9 \stackrel{?}{3} \\ 7 \stackrel{?}{5} \sim 5 \\ 1 \stackrel{?}{0} \stackrel{?}{2} \rightarrow \{0, 1, \dots, 9\} \text{ by} \qquad 2 \stackrel{?}{3} \stackrel{?}{2} \stackrel{?}{3} \stackrel{?}{2} \stackrel{?}{3} \stackrel{?}{3}$ 

f(x) = the last digit of x.

Then the relation

$$\int R := \{(x, y) \in \mathbb{Z} \times \mathbb{Z} : f(x) = f(y)\}$$

is an equivalence relation.

#### **Generalization: Partitions**

#### ×~4



In general, if  $f: A \rightarrow B$ , then one can define an equivalence relation  $R_f \subseteq A \times A$  as

$$R := \{(x, y) \in A \times A \colon f(x) = f(y)\}.$$

In other words, one try to "color" each element of A with colors in B, and the relation essentially means  $(x, y) \in R$  if and only if x has the same color as y.

# R. A

For a given set A. Suppose R is an equivalence relation on A. For each element x of A one can define an *equivalence class* of x, denoted by  $[x]_R$ , by

 $[X]_R := \{y \in A \colon (x, y) \in R\}.$ 

Observe that the set  $\{[x]_R : x \in A\}$  defines a partition in A, i.e. the function

$$f: \begin{cases} A \to \mathcal{P}(A) \\ & \swarrow & \neg [X]_{R} \end{cases}$$

is a *coloring* of each element x by the color  $[x]_R$ .

# Identifying things



**Definition 13 (Quotient of a set by an equivalence relation)** From an equivalence relation *R* on *A*, the set of partitions

 $\{[X]_R \colon X \in A\}$ 

is called "the quotient of A by R" and is denoted by A/R.

$$G \quad \mathcal{R} := \langle \mathcal{R}, y \setminus \mathcal{R} | \times \mathcal{R} \rangle$$
$$G \quad \mathcal{R} = \langle \mathcal{C}_{L}, \mathcal{C}_{2}, --- \rangle$$

# If one has $\mathbb{N} = \{0, 1, ...\}$ but not $\mathbb{Z}$ , how would one define $\mathbb{Z}$ ?

#### Example: The set of integers

 $Z = |N \times N / \sim$ 

If one has  $\mathbb{N}=\{0,1,\dots\}$  but not  $\mathbb{Z},$  how would one define  $\mathbb{Z}?$ 

People came up with a way to define  $\mathbb{Z}$  as  $(\mathbb{N} \times \mathbb{N}) / \sim$  where

 $\sim := \{((x_1, x_2), (y_1, y_2)) \in (\mathbb{N} \times \mathbb{N}) \times (\mathbb{N} \times \mathbb{N}) : x_1 + y_2 = x_2 + y_1\}.$ 

#### Extras: The rationals and the reals

We may do similar things to construct the rationals. That is, on  $\mathbb{Z} \times (\mathbb{Z} \setminus \{0\})$  one defines the equivalence relation  $\sim_{\mathbb{Q}}$  as

 $\sim_{\mathbb{Q}} := \{((x_1, x_2), (y_1, y_2)) \in (\mathbb{Z} \times (\mathbb{Z} \setminus \{0\})) \times (\mathbb{Z} \times (\mathbb{Z} \setminus \{0\})) : x_1y_2 = x_2y_1\}.$ 

#### Extras: The rationals and the reals

We may do similar things to construct the rationals. That is, on  $\mathbb{Z} \times (\mathbb{Z} \setminus \{0\})$  one defines the equivalence relation  $\sim_{\mathbb{Q}}$  as

 $\sim_{\mathbb{Q}} := \{((x_1, x_2), (y_1, y_2)) \in (\mathbb{Z} \times (\mathbb{Z} \setminus \{0\})) \times (\mathbb{Z} \times (\mathbb{Z} \setminus \{0\})) : x_1y_2 = x_2y_1\}.$ 

The reals are a bit complicated. It can be defined (in one way, among a few other definitions) as the quotient of *the set of Cauchy sequences* which is identified when the difference (term-wise) tends to zero. That is, consider the set of functions on  $\mathbb{N} \to \mathbb{Q}$ . We say f is (rational) Cauchy if for all  $\varepsilon \in \mathbb{Q}_{>0}$  there exists  $N \in \mathbb{N}$  such that for all  $n, m \in \mathbb{N}$  if  $n, m \ge N$  then  $|f(n) - f(m)| < \varepsilon$ . Let S be the set of all these functions. Then we define  $\sim_{\mathbb{R}}$  as

 $\sim_{\mathbb{R}} := \{ (f,g) \in S \times S \colon \forall \varepsilon \in \mathbb{Q}_{>0}, \exists N \in \mathbb{N}, \forall n \in \mathbb{N}, n \ge N \Rightarrow |f(n) - g(n)| < \varepsilon \}.$ Then we define  $\mathbb{R}$  to be  $S / \sim_{\mathbb{R}}$ . abc (2022-2023)

# $N(abbca cc) = (2-1)^{2} + (2-3)^{2} + (3-2)^{2}$ = 0+1+1=2.

We call the string composed of letters "a", "b", or "c" as abc-string. Define the norm of an abc-string as

2 - 2 - 3 - 7 $N(S) = (N_a(S) - N_b(S))^2 + (N_b(S) - N_c(S))^2 + (N_c(S) - N_a(S))^2$ 

where  $N_a(S)$ ,  $N_b(S)$ ,  $N_c(S)$  denotes the number of "a"s, "b"s, and "c"s inside S respectively.

Given an abc-string S. Find the substring that attains the maximum norm (if there are multiple such substrings, return any).

max N(S)

aba = aadc

The order of characters in S is not important, one can just consider  $(N_a(S), N_b(S), N_c(S))$  as a triple, and define

$$\widetilde{N}(a,b,c) := (a-b)^2 + (b-c)^2 + (c-a)^2$$
as a function from  $\mathbb{N} \times \mathbb{N} \times \mathbb{N}$  to  $\mathbb{N}$ .
$$\widetilde{N}(Q(R-Q(L-1)))$$

$$\widetilde{N}(Q(R$$

#### Observations

Ĵŵ (G+1-P+1)}+  $\widetilde{N}(a,b,c) = \widetilde{N}(a+1,b+1,c+1) = \dots$  $\widehat{N}(\alpha+\lambda,b+\lambda,c+\lambda) = \widehat{N}(\alpha,b,c)$ 42 ER N: NXINXIN - D

$$\widetilde{N}(a, b, c) = \widetilde{N}(a+1, b+1, c+1) = \dots$$

How about we quotient the set  $\mathbb{N} \times \mathbb{N} \times \mathbb{N}$  by this "coloring" of  $\widetilde{N}$ ?



41





43





45



46

#### Projection onto the plane x + y + z = 0

If  $(\underline{a}, \underline{b}, \underline{c})$  and  $(\underline{a'}, \underline{b'}, \underline{c'})$  are two points, and let  $\pi: \mathbb{N} \times \mathbb{N} \times \mathbb{N} \to \mathbb{N} \times \mathbb{N} \times \mathbb{N}$  be the projection function into the plane x + y + z = 0, then  $\widetilde{N}(a - a', b - b', c - c') = \widetilde{N}(\pi(a, b, c) - \pi(a', b', c'))$ .

#### Projection onto the plane x + y + z = 0

If (a, b, c) and (a', b', c') are two points, and let  $\pi: \mathbb{N} \times \mathbb{N} \times \mathbb{N} \to \mathbb{N} \times \mathbb{N} \times \mathbb{N}$  be the projection function into the plane x + y + z = 0, then  $\widetilde{N}(a - a', b - b', c - c') = \widetilde{N}(\pi(a, b, c) - \pi(a', b', c'))$ .

#### Proof.

One may define  $\pi(a, b, c)$  as  $(a - \min(a, b, c), b - \min(a, b, c), c - \min(a, b, c))$  for all  $a, b, c \in \mathbb{N}$ . Then (let  $m := \min(a, b, c)$  and  $m' = \min(a', b', c')$ ),

$$\begin{split} \widetilde{N}(\pi(a, b, c) - \pi(a', b', c')) \\ &= \widetilde{N}((a - m, b - m, c - m) - (a' - m', b' - m', c' - m')) \\ &= \widetilde{N}(a - a' - m + m', b - b' - m + m', c - c' - m + m') \\ &= \widetilde{N}(a - a', b - b', c - c'). \end{split}$$

This completes the proof.

#### Correspondence



Now, if we want to find a substring that maximizes N, it corresponds to finding the indices L and R ( $0 \le L < R \le N$ ) such that  $\widetilde{N}(QS_R - QS_L)_1$  is maximized, which is actually: "find the farthest pair of points in the projected plane".

#### Computation in the plane



Or you could even project it to the plane z = 0. (Try to prove that this also works!)

# Partially Ordered Set

#### Definition 14

A binary relation R on A (i.e. a subset of  $A \times A$ ) is said to be a partial order if

- (Reflexivity) For all  $x \in A$ ,  $(x, x) \in R$ .
- (Antisymmetry) For all  $x, y \in A$ , if  $(x, y) \in R$  and  $(y, x) \in R$  then x = y.
- (Transitivity) For all  $x, y, z \in A$  if  $(x, y) \in R$  and  $(y, z) \in R$  then  $(x, z) \in R$  also.

#### Example

Let  $\leq$  be a relation on  $\mathbb{N}$  defined by  $\leq := \{(x, y) \in \mathbb{N} \times \mathbb{N} : \exists k \in \mathbb{N}, y = kx\}.$ 

#### Example

Let  $S = \{a, b, c, d\}$ , then consider the power set  $\mathcal{P}(S)$  and the relation  $\subseteq$  defined on the power set. ( $\mathcal{P}(S), \subseteq$ ) is a partially ordered set.



Figure 1: First diagram

#### Hasse Diagrams



Figure 2: Second diagram

#### Hasse Diagrams



Figure 3: Third diagram

# Hasse Diagrams



Figure 4: Fourth diagram

Figures credits: "Watchduck", Public domain, via Wikimedia Commons. (see *https://en.wikipedia.org/wiki/Hasse\_diagram*)

#### Definition 15

A <u>partial</u> order *R* on *A* is said to be <u>total</u> if and only if for all  $x, y \in A$ ,  $(x, y) \in R$  or  $(y, x) \in R$ .



Let *R* be a partial order on *A*.

#### Definition 16

A set  $S \subseteq A$  is a chain (with respect to the partial order *R*) if and only if *R* is a total order of *S*.

#### Definition 17

A set  $S \subseteq A$  is an antichain (with respect to the partial order *R*) if and only if for all  $x \neq y \in S$ , both (x, y) and (y, x) are not in *R*. (i.e. no pair of elements in *S* is comparable) Example (divisibility)



#### Another Example


# Given an array A of N elements, find the length of longest increasing subsequence.

#### Another LIS Problem



Chef plays with the sequence of *N* numbers. During a single move Chef is able to choose a non-decreasing subsequence of the sequence and to remove it from the sequence. Help him to remove all the numbers in the minimal number of moves.

Problem source:

https://www.codechef.com/problems/CHRL3

$$i R j \iff i < j, a; \le a_j$$
  
LIS Smallest chain decomposition

#### Theorem 18 (Dilworth's theorem)

For a finite partially ordered set S with partial order  $\leq$  on S, the largest antichain has the same size as the smallest chain decomposition of S.

#### Theorem 18 (Dilworth's theorem)

For a finite partially ordered set S with partial order  $\leq$  on S, the largest antichain has the same size as the smallest chain decomposition of S.

What is a "chain decomposition"?

#### Theorem 18 (Dilworth's theorem)

For a finite partially ordered set S with partial order  $\leq$  on S, the largest antichain has the same size as the smallest chain decomposition of S.

What is a "chain decomposition"?

#### Definition 19

A chain decomposition *D* is a partition of *S* such that for any  $X \in D$ , *X* is a chain.

#### The Same Old Example



By induction, remove a maximal element *a* of *S* and assume that  $S - \{a\}$  has a smallest chain decomposition *P* with *k* chains (we write *P* as  $P = \{P_1, P_2, \ldots, P_k\}$  where  $P_i$  is a chain, i.e., a totally-ordered subset of *S*) and that  $A_0$  is a largest antichain with size *k*. Then for all  $i \in \{1, \ldots, k\}, A_0 \cap P_i \neq \emptyset$ . (Why?)

By induction, remove a maximal element *a* of *S* and assume that  $S - \{a\}$  has a smallest chain decomposition *P* with *k* chains (we write *P* as  $P = \{P_1, P_2, \ldots, P_k\}$  where  $P_i$  is a chain, i.e., a totally-ordered subset of *S*) and that  $A_0$  is a largest antichain with size *k*. Then for all  $i \in \{1, \ldots, k\}, A_0 \cap P_i \neq \emptyset$ . (Why?)

Moreover,  $A_0 \cap P_i$  has cardinality 1 (and they are all different).

By induction, remove a maximal element *a* of *S* and assume that  $S - \{a\}$  has a smallest chain decomposition *P* with *k* chains (we write *P* as  $P = \{P_1, P_2, \ldots, P_k\}$  where  $P_i$  is a chain, i.e., a totally-ordered subset of *S*) and that  $A_0$  is a largest antichain with size *k*. Then for all  $i \in \{1, \ldots, k\}, A_0 \cap P_i \neq \emptyset$ . (Why?)

Moreover,  $A_0 \cap P_i$  has cardinality 1 (and they are all different).

Before going to the main proof. Let us consider that proving that S has either an antichain of size k + 1 or a chain decomposition of size k is enough. Why?

By induction, remove a maximal element *a* of *S* and assume that  $S - \{a\}$  has a smallest chain decomposition *P* with *k* chains (we write *P* as  $P = \{P_1, P_2, \ldots, P_k\}$  where  $P_i$  is a chain, i.e., a totally-ordered subset of *S*) and that  $A_0$  is a largest antichain with size *k*. Then for all  $i \in \{1, \ldots, k\}, A_0 \cap P_i \neq \emptyset$ . (Why?)

Moreover,  $A_0 \cap P_i$  has cardinality 1 (and they are all different).

Before going to the main proof. Let us consider that proving that S has either an antichain of size k + 1 or a chain decomposition of size k is enough. Why?

Observe that S cannot have an antichain of size more than k + 1. (because we only add  $\{a\}$  to  $S - \{a\}$  which has the size of maximum antichain as k) Also observe that we cannot have a chain decomposition of size less than k. (because  $S - \{a\}$  already has a smallest chain decomposition of size k)

The answer is no, because if there is an antichain of size k + 1 and a chain decomposition of size k, then by the pigeonhole principle, two elements of the antichain belong to the same chain, which is a contradiction.

The answer is no, because if there is an antichain of size k + 1 and a chain decomposition of size k, then by the pigeonhole principle, two elements of the antichain belong to the same chain, which is a contradiction.

Now, if S has a chain decomposition of size k, can there be an antichain of size k + 1? (Surely there is an antichain of size k in S because  $A_0$  is already an antichain of size k in  $S - \{a\}$ )

The answer is no, because if there is an antichain of size k + 1 and a chain decomposition of size k, then by the pigeonhole principle, two elements of the antichain belong to the same chain, which is a contradiction.

Now, if S has a chain decomposition of size k, can there be an antichain of size k + 1? (Surely there is an antichain of size k in S because  $A_0$  is already an antichain of size k in  $S - \{a\}$ )

The same argument holds, if there is an antichain of size k + 1 then two elements belong to the same chain (by the pigeonhole principle) and this is a contradiction. Now, consider each chain  $P_i \in P$ . Take the maximal element  $x_i$  from  $P_i$  such that there exists an antichain of size k in  $S - \{a\}$  containing  $x_i$ . (Why is this always possible?)

## Proof (cont.)

Now, consider each chain  $P_i \in P$ . Take the maximal element  $x_i$  from  $P_i$  such that there exists an antichain of size k in  $S - \{a\}$  containing  $x_i$ . (Why is this always possible?)

Is  $A = \{x_1, x_2, \dots, x_k\}$  an antichain of  $S - \{a\}$ ? Why?

Now if  $x_i \leq a$  for some  $i \in \{1, ..., k\}$ . Consider the chain  $K = \{a\} \cup \{z \in P_i : z \leq x_i\}$ .

Consider the chain  $K = \{a\} \cup \{z \in P_i \colon z \preceq x_i\}.$ 

What is the size of the smallest chain decomposition of S - K? Well, by the induction hypothesis, it is equal to the maximum size of antichain in S - K, which, hmm... How much is it?

Consider the chain  $K = \{a\} \cup \{z \in P_i \colon z \preceq x_i\}.$ 

What is the size of the smallest chain decomposition of S - K? Well, by the induction hypothesis, it is equal to the maximum size of antichain in S - K, which, hmm... How much is it?

Surely it is no more than k, and at least it is k - 1 (because  $A - \{x_i\}$  is an antichain). The question is, can it be k?

Consider the chain  $K = \{a\} \cup \{z \in P_i \colon z \preceq x_i\}.$ 

What is the size of the smallest chain decomposition of S - K? Well, by the induction hypothesis, it is equal to the maximum size of antichain in S - K, which, hmm... How much is it?

Surely it is no more than k, and at least it is k - 1 (because  $A - \{x_i\}$  is an antichain). The question is, can it be k?

The answer is no. Basically, S - K has all the chains  $P_j$  except  $P_i$ where K is removed from  $P_i$ . If  $P_i - K$  has an element y such that  $(A - \{x_i\}) \cup \{y\}$  is an antichain, then  $x_i \leq y$ , which contradicts the condition that  $x_i$  is the maximal element such that there exists an antichain of size k in  $S - \{a\}$  containing  $x_i$ . So the size of smallest chain decomposition of S - K is k - 1, which is equal to the maximum size of antichain in S - K. Now when we consider  $S = (S - K) \cup K$ , we can think of adding the chain K to the existing chain decomposition of S - K. Now, the size of the new chain decomposition is k - 1 + 1 = k. Which completes the proof in this case (where there exists  $i \in \{1, ..., k\}$  such that  $x_i \leq a$ ). Now if  $x_i \not\leq a$  for all  $i \in \{1, ..., k\}$ . By our assumption that a is maximal,  $a \not\leq x_i$  for all  $i \in \{1, ..., k\}$  also. So  $A \cup \{a\}$  is an antichain of size k + 1. This completes the proof in this case (where there is no  $i \in \{1, ..., k\}$  such that  $x_i \leq a$ )

Now if  $x_i \not\leq a$  for all  $i \in \{1, ..., k\}$ . By our assumption that a is maximal,  $a \not\leq x_i$  for all  $i \in \{1, ..., k\}$  also. So  $A \cup \{a\}$  is an antichain of size k + 1. This completes the proof in this case (where there is no  $i \in \{1, ..., k\}$  such that  $x_i \leq a$ )

Hence, the two cases are now proved.

## (I read it from https://en.wikipedia.org/wiki/Dilworth% 27s\_theorem#Inductive\_proof)

Problems

### Let's try

- lingling (2021-2022)
- o58\_mar\_c2\_permutepermute
- https://szkopul.edu.pl/problemset/problem/ \_cVmDXXn2TjF0dF1rW6eazA0/site/?key=statement
- findpermutation (2021-2022)
- *abc* (2022-2023)
- *sortingtapes* (2022-2023)
- (Difficult) https://cses.fi/193/list/
  - (Difficult)

https://oj.uz/problem/view/JOI18\_bubblesort2

- ・ (Difficult)
- https://oj.uz/problem/view/JOI18\_asceticism
- (Difficult)

(FOI https://codeforces.com/contest/1193/problem/C

 $f \sim q \iff \lim_{n \to \infty} \frac{f(n)}{g(n)} = 1$ .

- Discrete mathematics lectures provided by François Alouges (at École Polytechnique)
  - Reduction of Endomorphisms lectures provided by David Burguet (at École Polytechnique) with notes provided by Javier Fresán.
  - An Introduction to the Analysis of Algorithms (2nd edition) by Robert Sedgewick and Phillipe Flajolet.
- Elementary Set Theory lectures provided by Thanatkrit Kaewtem (at Mahidol Wittayanusorn School).

And good luck with the training camp!