

ต้นไม้เอวีแอล

(AVL Tree)

สมชาย ประสิทธิ์จตุระกุล

Translated to English by

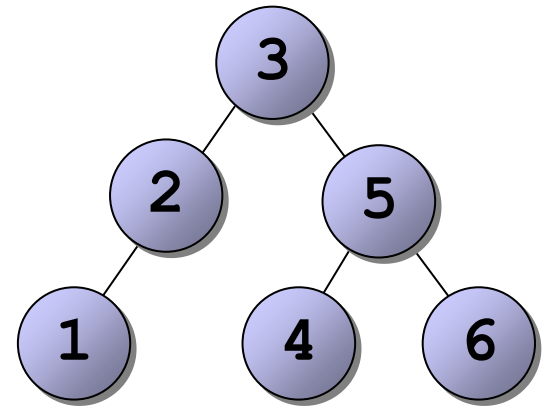
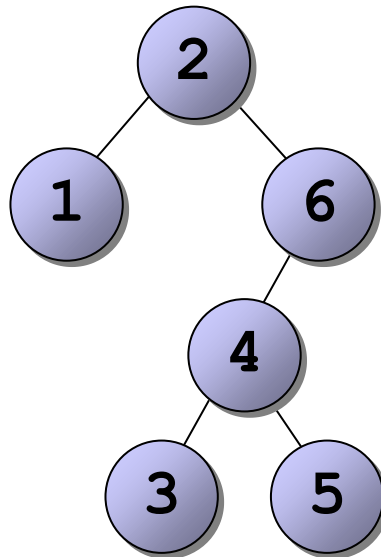
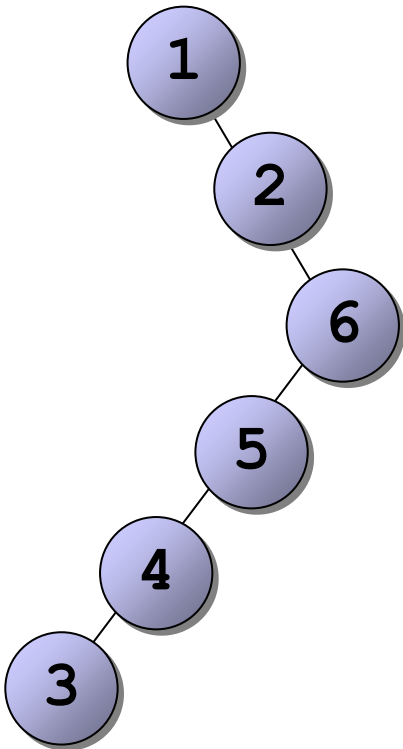
Nuttapong Chentanez

Topic

- AVL tree definition
- Height of AVL tree
- Balancing AVL tree
- Tree rotation

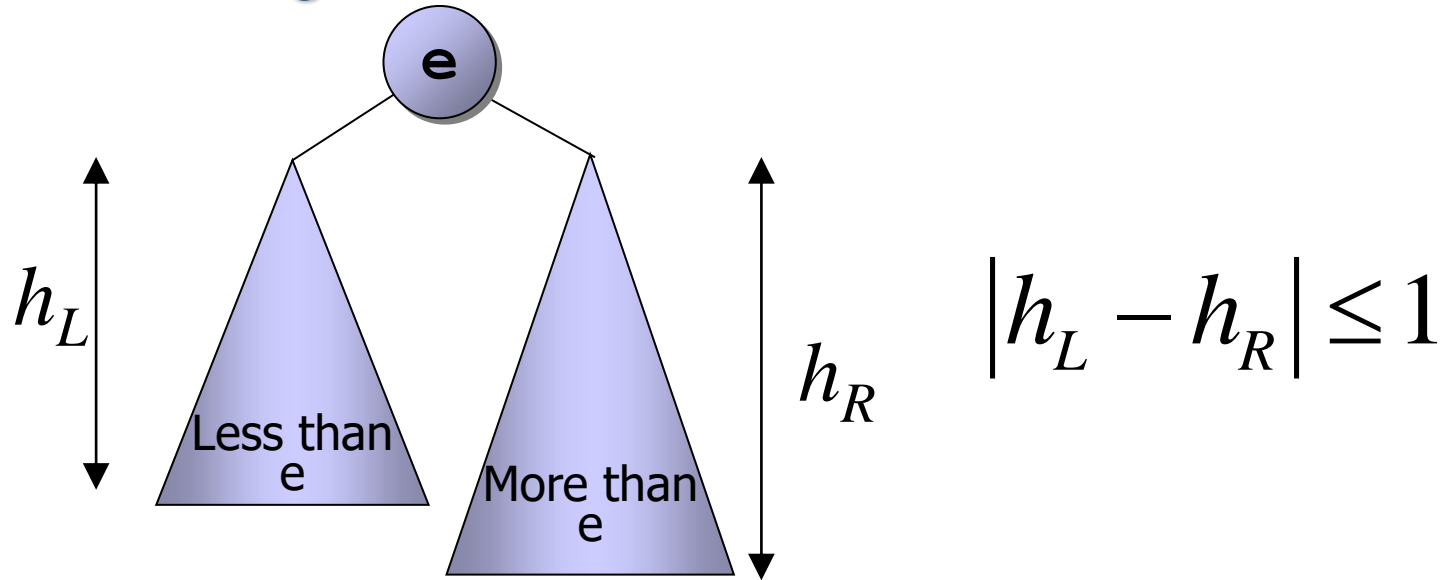
Binary search tree

- Running time is $O(h)$
- $\lfloor \log_2 n \rfloor \leq h \leq n - 1$
- Best case $O(\log n)$, worst case $O(n)$



AVL Tree

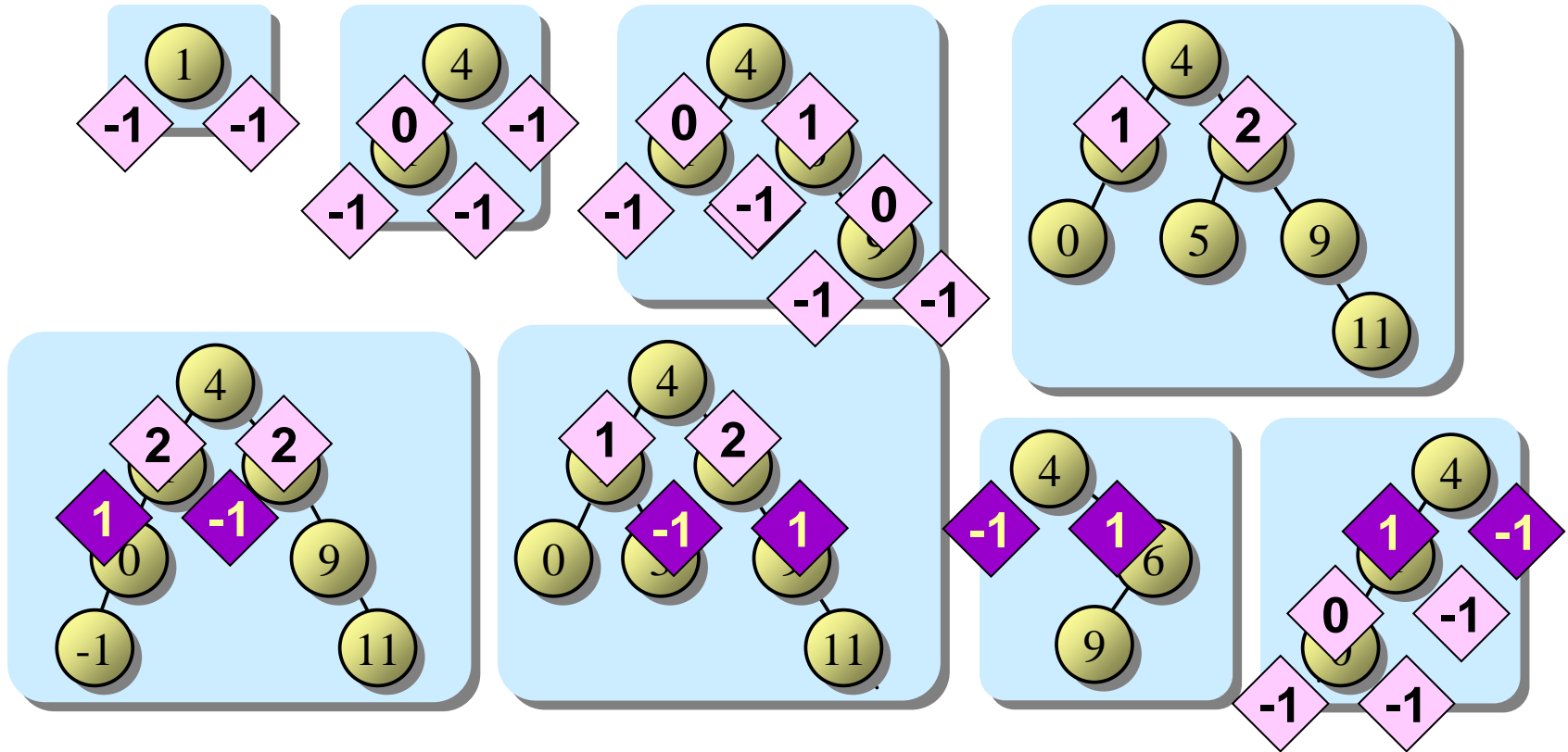
- AVL = Binary Search Tree + height balancing rules



All subtrees must obey this rule

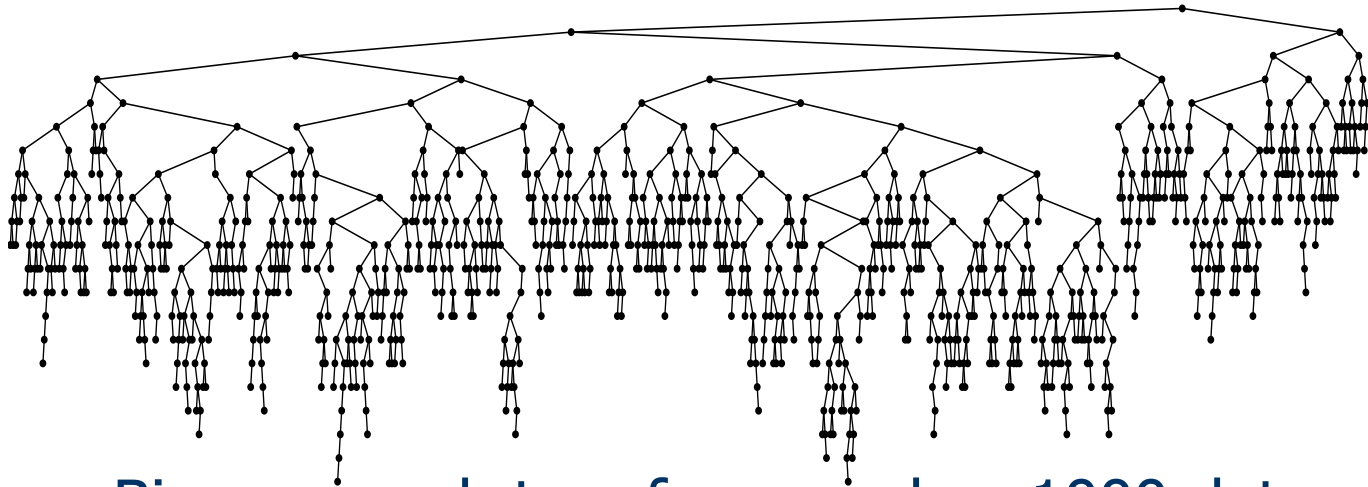
AVL : **A**delson-**V**elskii and **L**andis

AVL Tree example

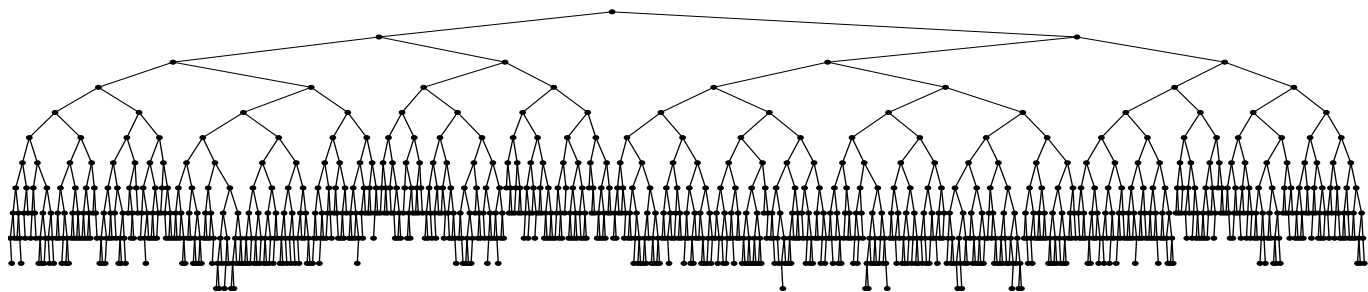


Empty tree (null) has
height -1

Binary search tree vs AVL tree



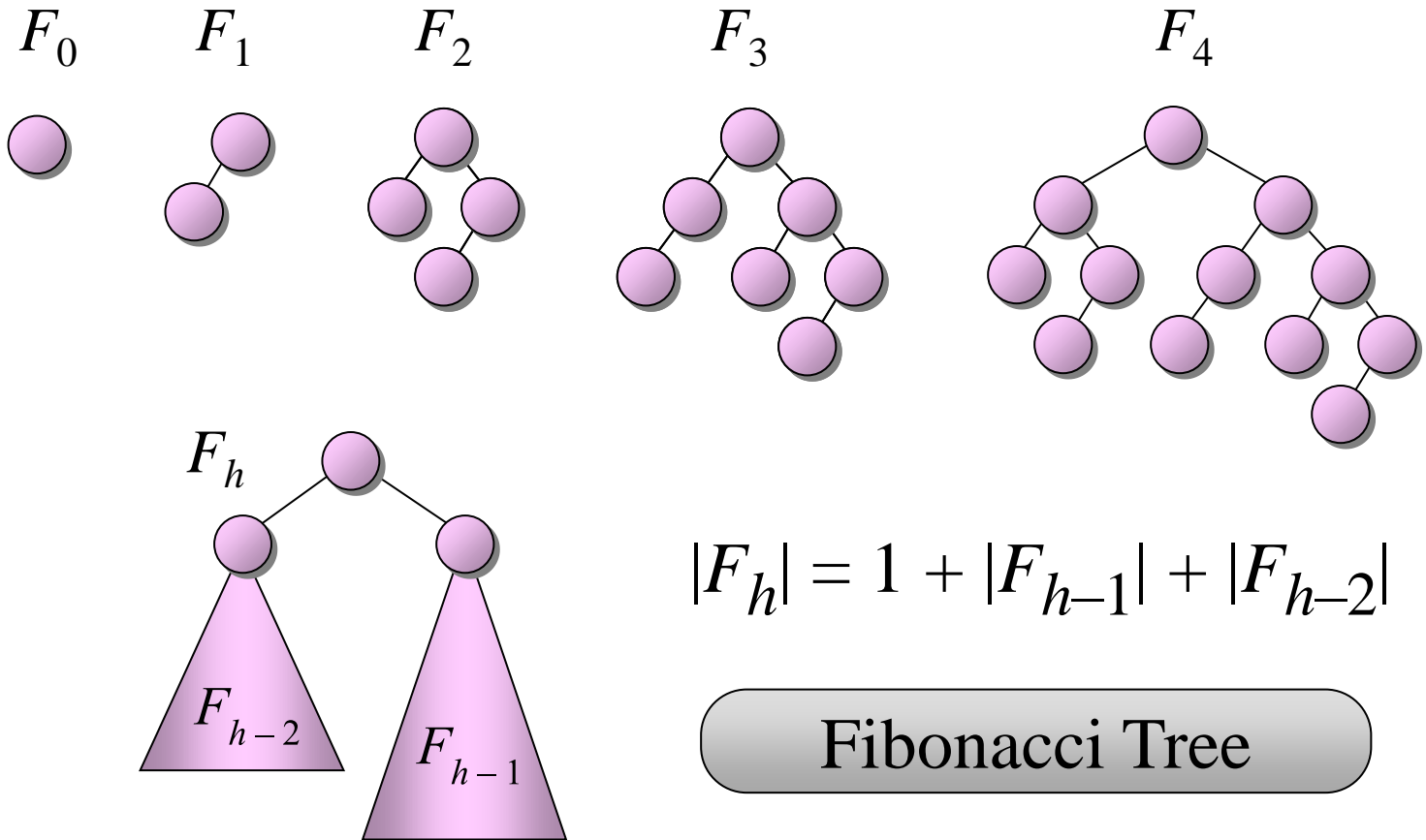
Binary search tree from random 1000 data



AVL tree from random 1000 data

How tall is AVL tree?

- Let F_h be AVL tree with height h that has lowest number of nodes



$$|F_h| = 1 + |F_{h-1}| + |F_{h-2}|$$

Fibonacci Tree

Height of Fibonacci Tree

$$|F_h| = 1 + |F_{h-1}| + |F_{h-2}|$$

$$n_h = 1 + n_{h-1} + n_{h-2} \quad h \geq 2, \quad n_0 = 1, n_1 = 2$$

$$n_h = \alpha_1 \phi^h + \alpha_2 \hat{\phi}^h - 1, \quad \phi = 1.618\dots, \quad \hat{\phi} = -0.618$$

$$n_h \approx \alpha_1 \phi^h$$

$$h \approx \frac{1}{\log_2 \phi} (\log_2 n_h)$$

$$h \approx 1.44 (\log_2 n_h)$$

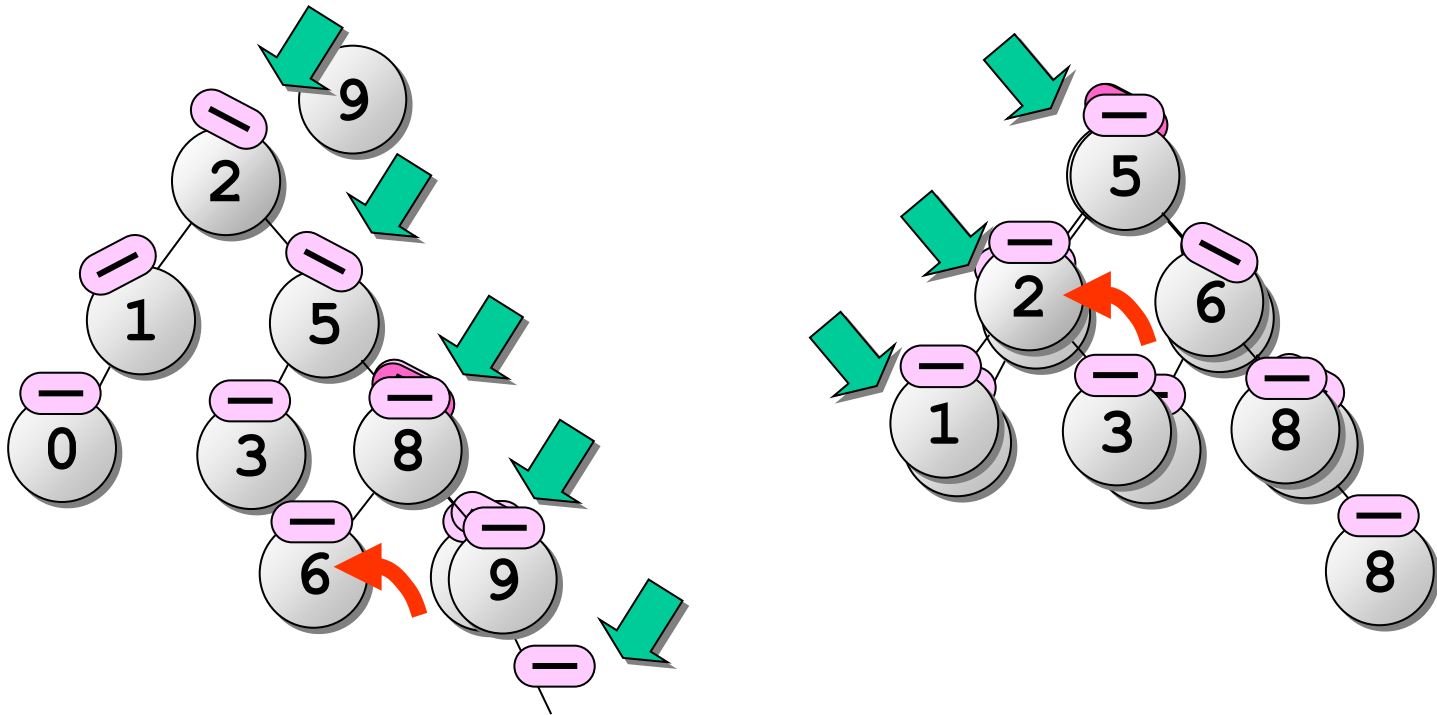
Summary :

AVL tree with n node
is no taller than $1.44 \log_2 n$

$$\lfloor \log_2 n \rfloor \leq h_{\text{AVL}} \leq 1.44 \log_2 n$$

How to satisfy AVL rule?

- Insert/erase like BST
- But insert/erase may violate the rule
- If so, need to adjust the trees



map_avl

```
template <typename KeyT,  
         typename MappedT,  
         typename CompareT = std::less<KeyT> >  
class map_avl {  
protected:  
  
    class node {  
        friend class map_avl;  
  
        ...  
    };  
  
    class tree_iterator {  
        ...  
    };  
  
public:  
    ...  
  
};
```

Same as map_bst

node

```
class node {
    friend class map_avl;
protected:
    ValueT data;
    node *left;
    node *right;
    node *parent;
    int height;

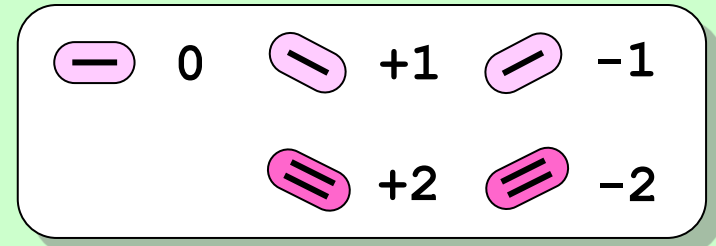
    node() :
        data( ValueT() ), left( NULL ), right( NULL ),
        parent( NULL ), height(0) { }

    node(const ValueT& data, node* left,
         node* right, node* parent) : data(data),
         left(left), right(right), parent(parent) {
        set_height();
    }
}
```

Each node stores height

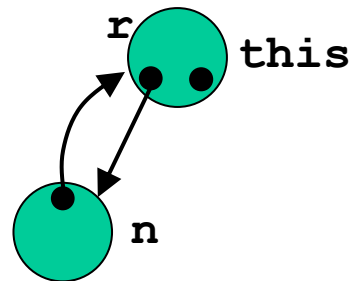
node

```
class node {
    friend class map_avl;
protected:
    ...
    int height;
    ...
    int get_height(node *n) { // ? 00 ?
        return (n == NULL ? -1 : n->height);
    }
    void set_height() {
        int hL = get_height(this->left);
        int hR = get_height(this->right);
        height = 1 + (hL > hR ? hL : hR);
    }
    int balance_value() {
        return get_height(this->right) -
            get_height(this->left);
    }
}
```

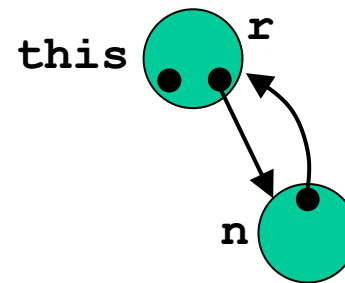


node

```
class node {  
    friend class map_avl;  
protected:  
    ...  
    void set_left(node *n) {  
        this->left = n;  
        if (n != NULL) this->left->parent = this;  
    }  
    void set_right(node *n) {  
        this->right = n;  
        if (n != NULL) this->right->parent = this;  
    }  
};
```



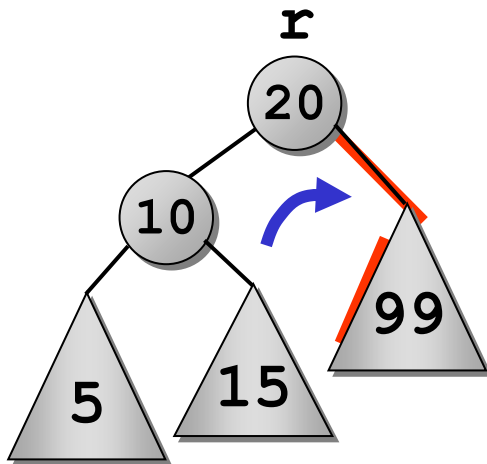
`r->set_left(n);`



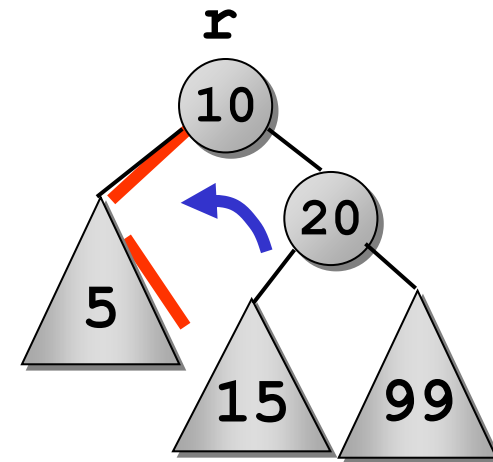
`r->set_right(n);`

Node rotation

- Use rotation to maintain height
- Rotated tree remain a binary search tree



`rotate_left_child(r)`

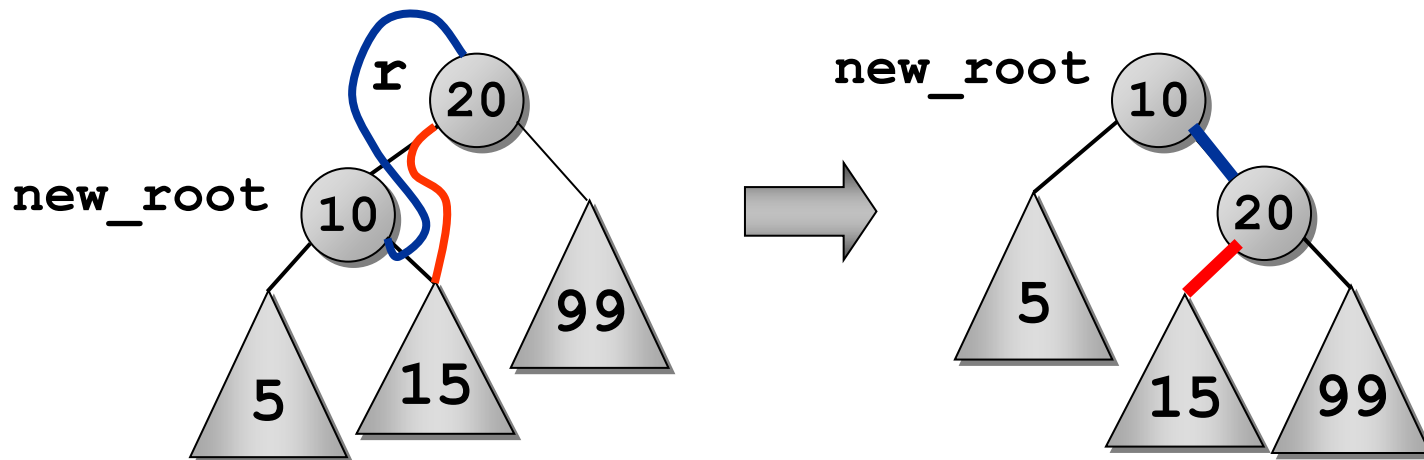


`rotate_right_child(r)`

rotate_left_child(r)

```
node *rotate_left_child(node *r) {  
    node *new_root = r->left;  
    r->set_left(new_root->right);  
    new_root->set_right(r);  
  
    new_root->right->set_height();  
    new_root->set_height();  
    return new_root;  
}
```

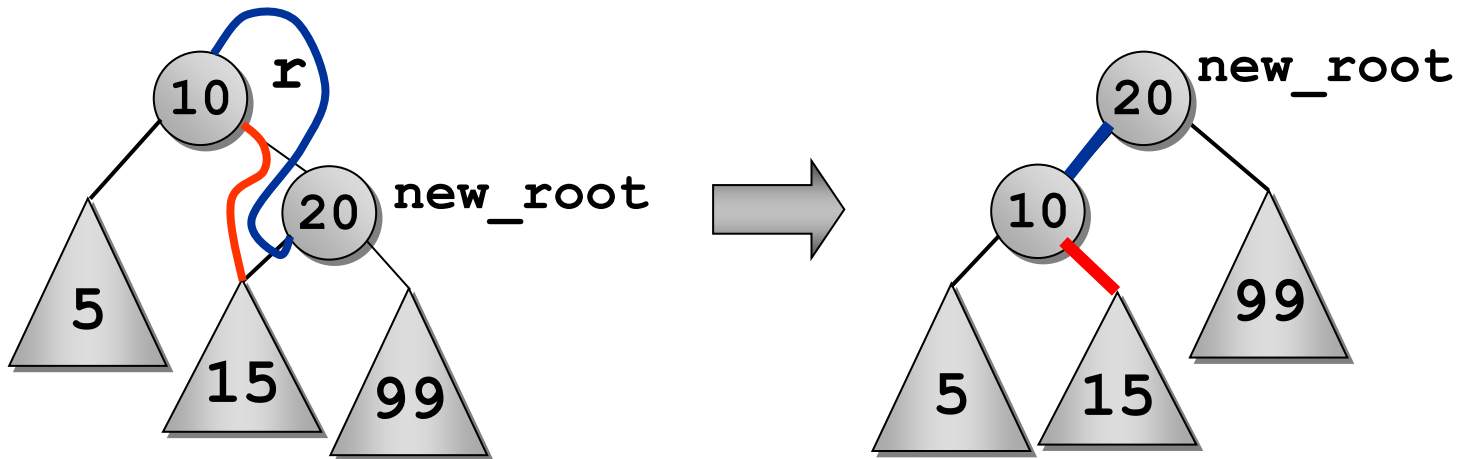
$\Theta(1)$



rotate_right_child(r)

```
node *rotate_right_child(node * r) {  
    node * new_root = r->right;  
    r->set_right(new_root->left);  
    new_root->set_left(r);  
  
    new_root->left->set_height();  
    new_root->set_height();  
    return new_root;  
}
```

$\Theta(1)$



insert and erase using rebalance

```
node* insert(const ValueT& val, node *r, node * &ptr) {  
    ... // same as insert in map_bst  
  
    r = rebalance(r);  
    return r;  
}
```

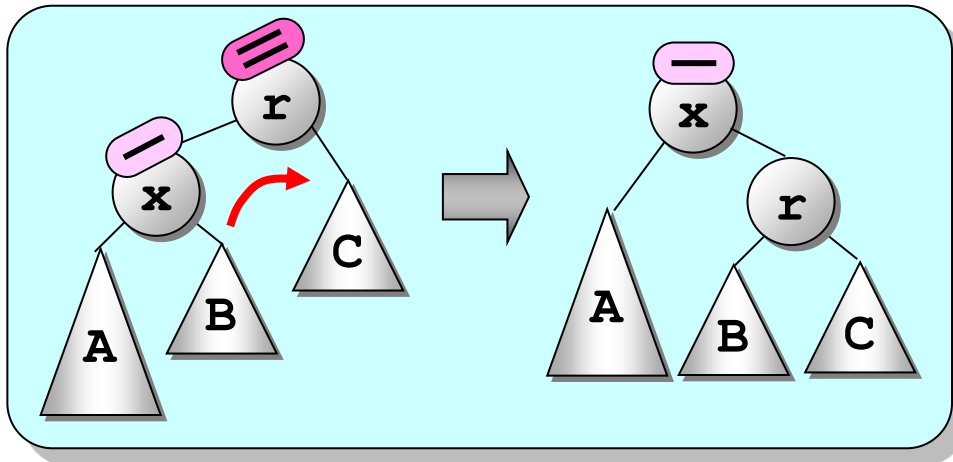
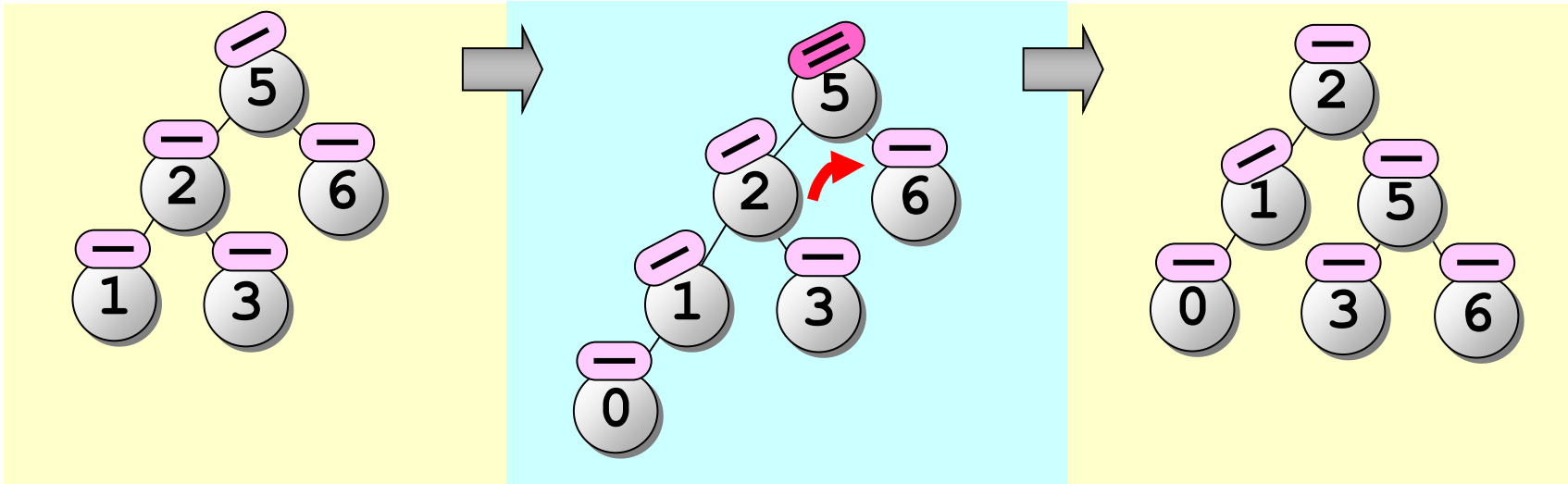
Insert normally and adjust

```
node *erase(const KeyT &key, node *r) {  
    ... // same as erase in map_bst  
  
    r = rebalance(r);  
    return r;  
}
```

Erase normally and adjust

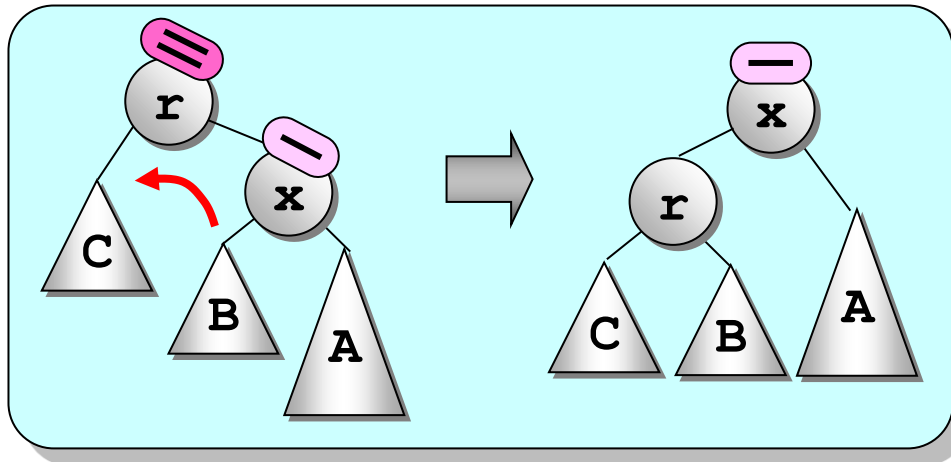
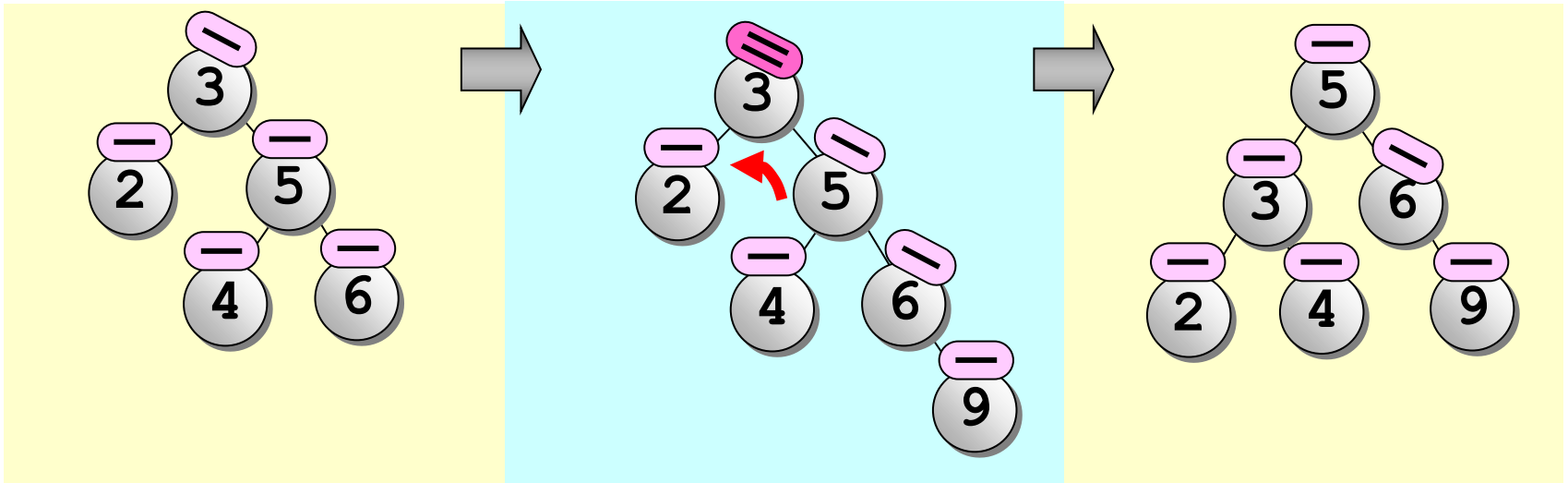
rebalance has 4 cases

rebalance : case 1



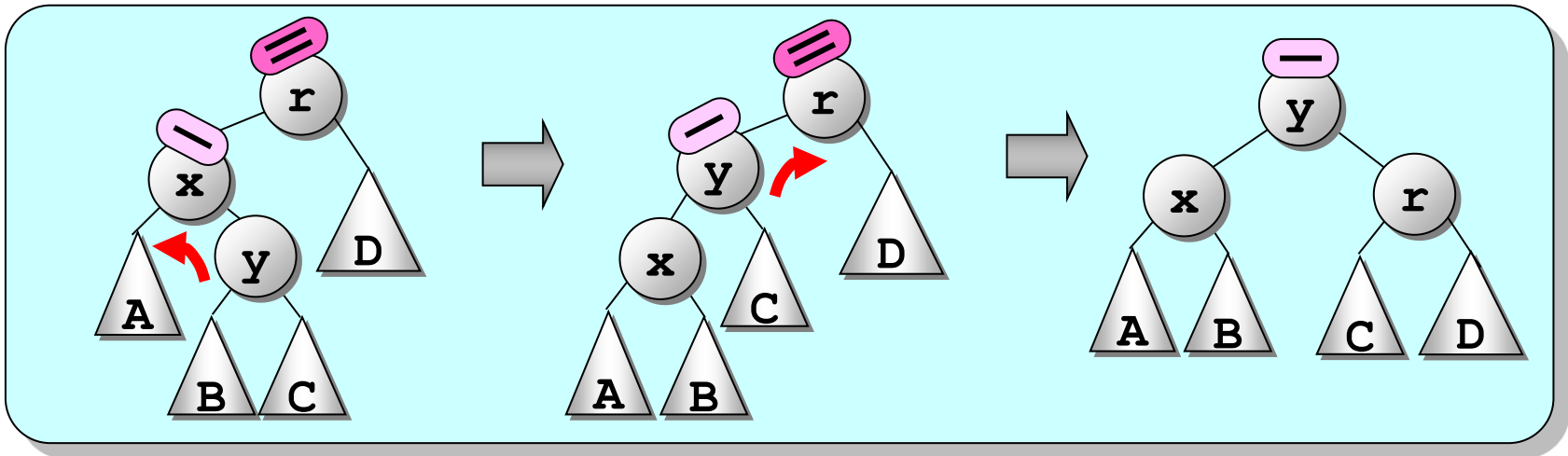
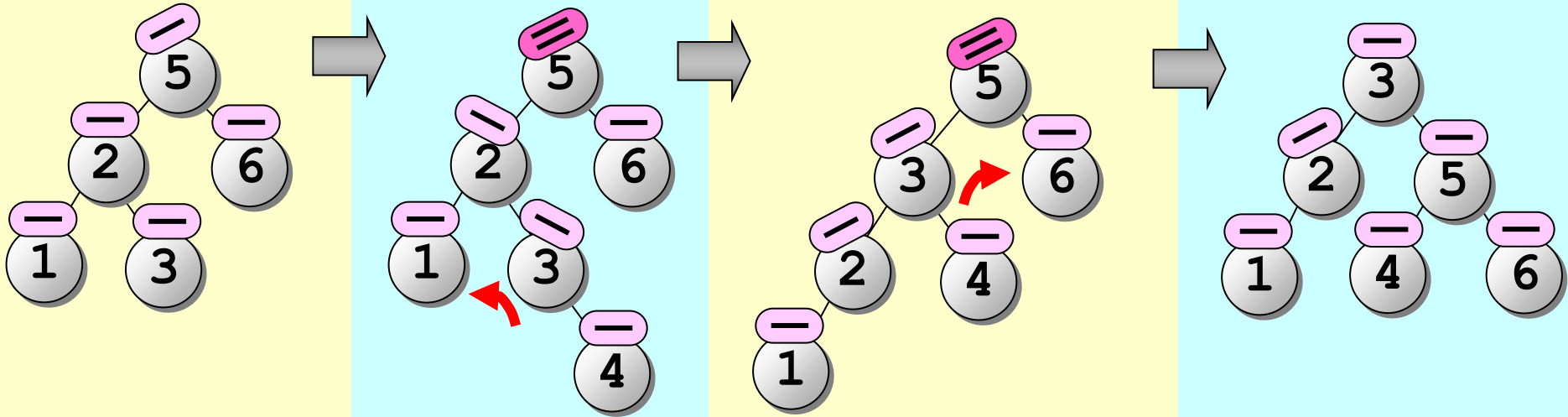
`rotate_left_child(r)`

rebalance : case 2



`rotate_right_child(r)`

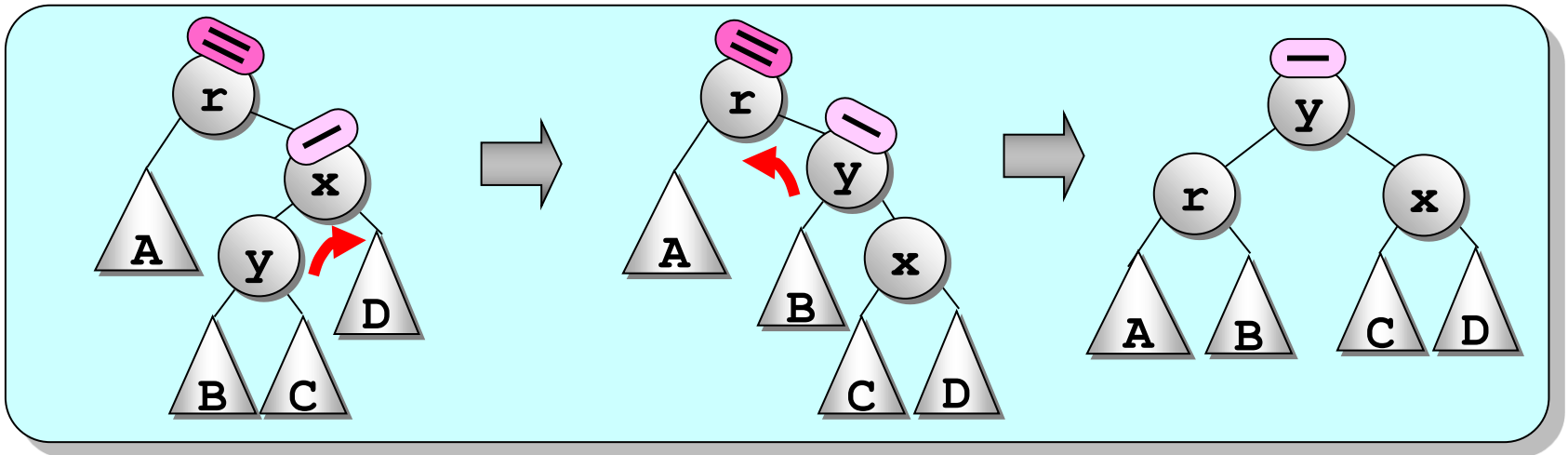
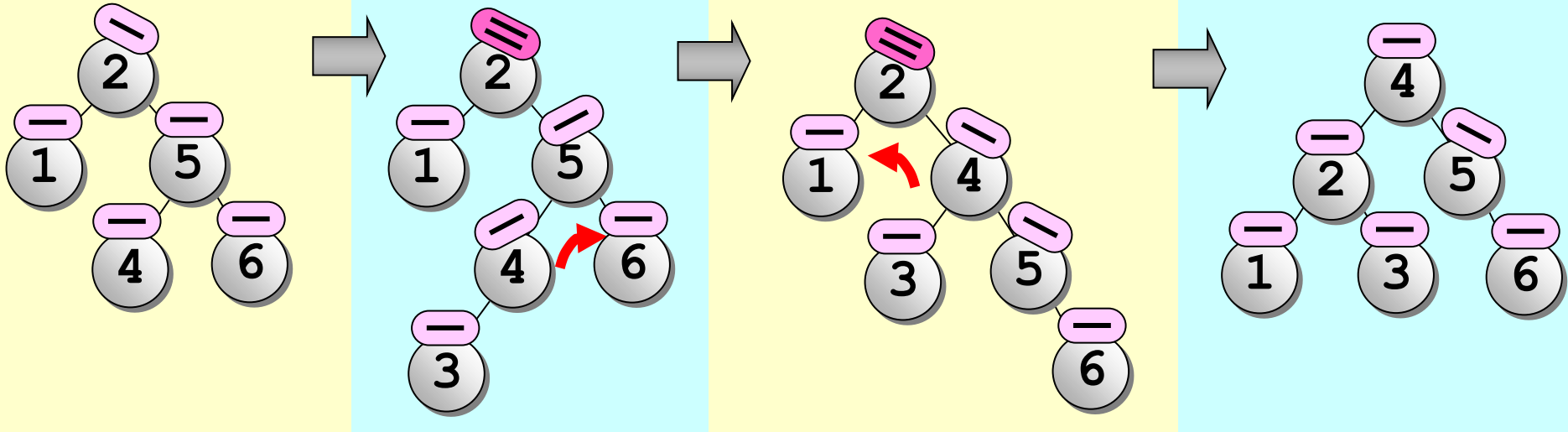
rebalance : case 3



`rotate_right_child(r->left)`

`rotate_left_child(r)`

rebalance : case 4

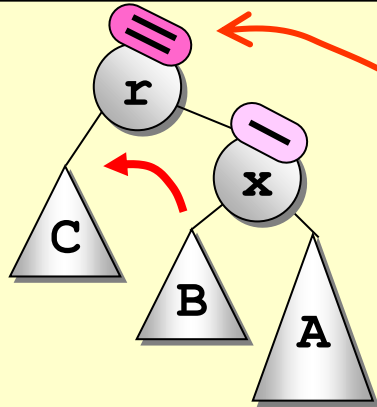


`rotate_left_child(r->right)`

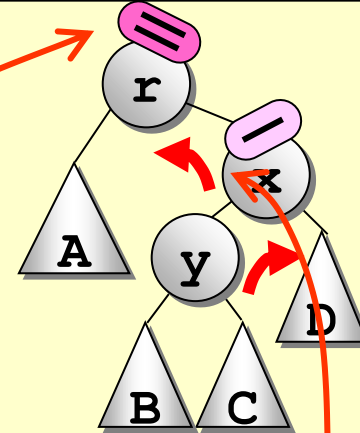
`rotate_right_child(r)`

rebalance

no



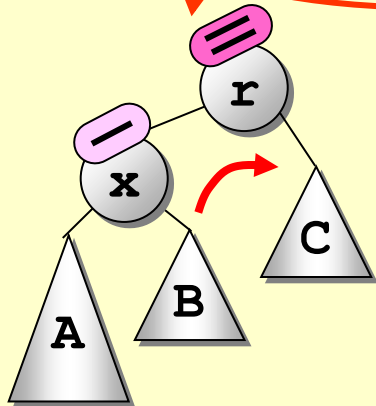
`rotate_right_child(r)`



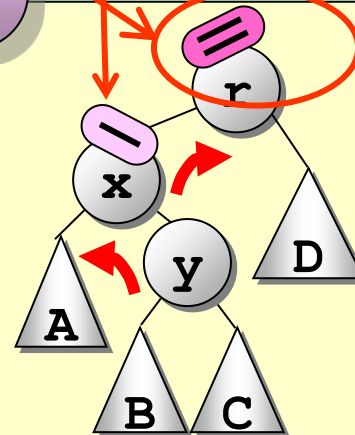
`rotate_left_child(r.right)`
`rotate_right_child(r)`

`} else if (balance`

$\Theta(1)$



`rotate_left_child(r)`



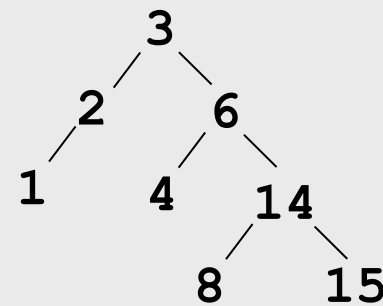
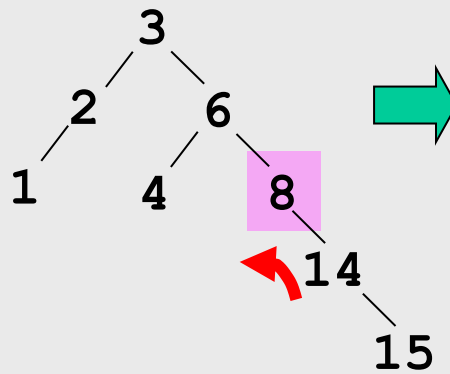
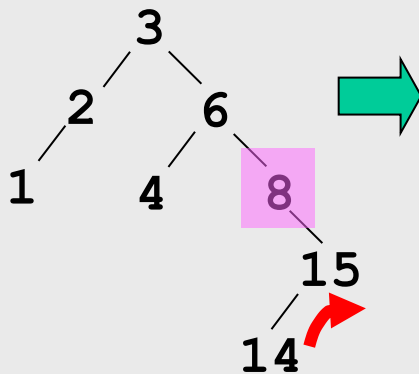
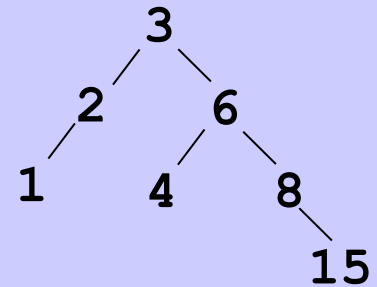
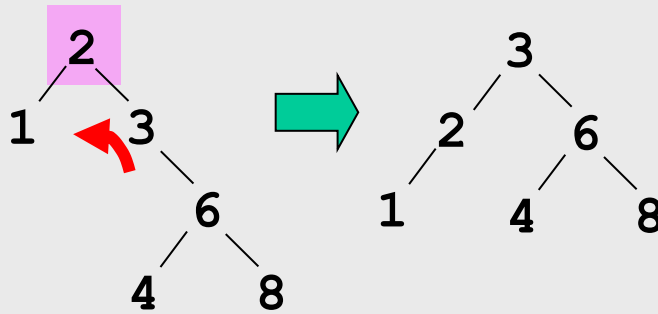
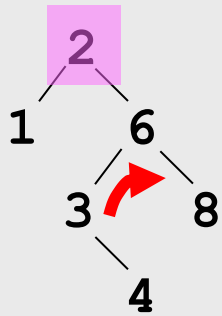
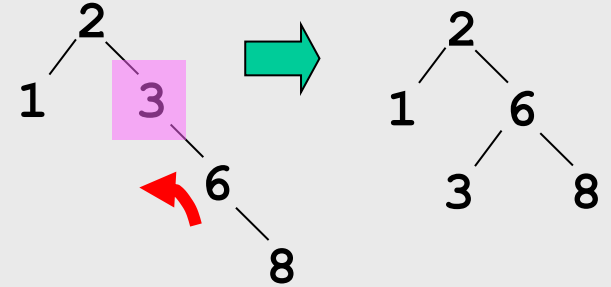
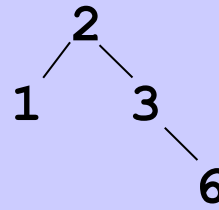
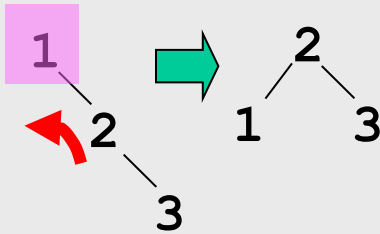
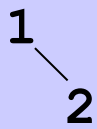
`rotate_right_child(r->left)`
`rotate_left_child(r)`

}

Example

1, 2, 3, 6, 8, 4, 15, 14

1



Summary

- AVL Tree is a binary search tree with height control
- Difference in height of the two children ≤ 1
- Can prove that $\lfloor \log_2 n \rfloor \leq h < 1.44 \log_2 n$
- Each node store height
- After insertion/deletion, if the height rule is violated, need to balance the tree
- Balancing is done by rotation
- Insert, delete, search cost $O(\log n)$

ความสูงของต้นไม้ AVL

```
class node {  
    friend class map_avl;  
protected:  
    ValueT data;  
    node *left;  
    node *right;  
    node *parent;  
    int height;
```

```
class node {  
    friend class map_avl;  
protected:  
    ValueT data;  
    node *left;  
    node *right;  
    node *parent;  
    unsigned char height;
```